



INDONG TEA COMPANY LIMITED

CIN No.: U01122WB1990PLC050506

Sikkim Commerce House, 4/1, Middleton Street, Kolkata - 700 071, India

Phone: 91-33 4006 3601 / 3602

E-mail: indongtea@asiangroup.in

Website: www.indongteaco.com

Garden: P.O. - Matelli, Dist.: Jalpaiguri (W.B.) Pin: 735223. Rly. Station: Chalsa

Date: 08.04.2023

To
BSE Limited
Phiroze Jeejeebhoy Towers,
Dalal Street,
Mumbai - 400 001

Scrip Code: - 543769 (INDONG TEA COMPANY LIMITED)

Sub: Certificate Under Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015

Dear Sir/ Madam,

We enclose herewith a certificate for the year ended 31st March, 2023, duly signed by CS Prachi Todi, Practicing Company Secretary (PCS), pursuant to the provisions of Regulation 40(9) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015.

This is for your kind information and records and acknowledge the receipt of the same.

Thanking You.

Thanking You,

For, Indong Tea Company Limited

**CHANDAN
GUPTA**

Digitally signed by CHANDAN GUPTA
Date: 2023.04.08 13:53:45 +05'30'

Chandan Gupta

Company Secretary cum Compliance Officer

M. No: A55681

Encl: Certificate issued by PCS

Prachi Todi

Company Secretaries
46, East Topsia Road
Arupota Kolkata-700105
Mobile No: 9830072442

Email: csprachi92@gmail.com; info.prachi92@gmail.com

**CERTIFICATE UNDER REGULATION 40(9) OF THE SECURITIES AND EXCHANGE BOARD OF
INDIA (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015**

FOR THE YEAR ENDED 31ST MARCH, 2023

The Securities and Exchange Board of India ("SEBI") vide its gazette notification dated 08th June, 2018 and vide its press release dated 03rd December, 2018 amended Regulation 40 of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 and had mandated that the transfer of securities would be carried out in demat form only with effect from 01st April, 2019. Further SEBI vide its press release dated 27th March, 2019 clarified that the transfer deeds lodged prior to the aforesaid deadline and returned due to deficiency in the documents may be re-lodged for transfer even after 1st April, 2019. Further, SEBI vide its circular dated September 07, 2020 had fixed March 31, 2021 as the cut-off date for re-lodgment of such transfer deeds and the shares that are re-lodged for transfers shall be issued only in demat mode. Also, SEBI had specified operational guidelines for transfer and dematerialization of re-lodged physical shares vide its circular dated December 02, 2020.

Thereafter, SEBI vide its gazette notification dated January 24, 2022 read with SEBI Circular No. SEBI/HO/MIRSD/MIRSD_RTAMB/P/CIR/2022/8 dated January 25, 2022 had mandated that the companies shall effect issuance of certificates or receipts or advices, as applicable in dematerialized form only, while processing the service requests relating to Issue of duplicate securities certificate, Claim from Unclaimed Suspense Account, Renewal/Exchange of securities certificate, Endorsement, Sub-division/Splitting of securities certificate, Consolidation of securities certificates/folios, Transmission and Transposition.

Accordingly, I have examined all Share Transfer Deeds, Memorandum of Transfers, Registers, Files and other documents relating to **M/s Indong Tea Company Limited**, having its Registered Office at Sikkim Commerce House, 4/1 Middleton Street, Kolkata-700071, maintained by **M/s Cameo Corporate Services Limited** of "Subramanian Building" No. 1 Club House Road Chennai- 600002, pertaining to transfer of equity shares of the Company from the period **21st February, 2023*** to **31st March, 2023** for the purpose of issuing a Certificate as per Regulation 40(9) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, and based on the information provided by the Company/ RTA, I hereby certify that:



Prachi Todi

Company Secretaries
46, East Topsia Road
Arupota Kolkata-700105
Mobile No: 9830072442

Email: csprachi92@gmail.com; info.prachi92@gmail.com

- a. That there were no instances of physical transfer or transmission during the period from **21st February, 2023*** to **31st March, 2023**.
- b. That there were no requests relating to sub-division (split), consolidation, renewal, exchange or endorsement of calls/ allotment monies with respect to equity shares during the period from **21st February, 2023*** to **31st March, 2023**.

*With effect from February 21, 2023, the equity shares of Indong Tea Company Ltd (Scrip Code: 543769) were listed and admitted to dealings on the SME Platform of Bombay Stock Exchange.

For PRACHI TODI
PRACTICING COMPANY SECRETARY



ACS No. 53022

C.P. No. 22964

Peer Review Certificate No.: 1445/2021

UDIN: A053022E000036115

Date: 07/04/2023

Place: Kolkata